

# IT Audit Management Symposium 2004

## Agenda

**Wednesday, 9th June 2004**

**08.30 Registration and coffee** ☺ ◆

**09.00 – 09.30**  
**Opening Remarks from the Chairman**  
**Fred C. Roth**

**09.30 – 11.00**  
**Managing IT Audits**

The IT Audit Universe

- ◆ Risk ranking
- ◆ Audit planning
- ◆ Tools you'll need
- ◆ Setting up the audit
- ◆ Managing through the audit
- ◆ Managing the exit meeting
- ◆ Reporting and beyond
- ◆ Managing third party and outsource audits

**Charles Mansour**

**11.00 – 11.30 Morning Coffee Break** ☺

**11.30 – 13.00**  
**Sarbanes-Oxley from an IT Audit Perspective**

- ◆ Sarbanes-Oxley internal control reporting requirements
- ◆ Determining which IT processes to review
- ◆ Assessing IT controls that support financial information integrity
- ◆ Working with external auditors for compliance assessments
- ◆ Tools and techniques to provide supporting control documentation
- ◆ Developing a strategy for ensuring that your organisation is Sarbanes-Oxley compliant

**13.00 – 14.00 Lunch** ☺

**14.00 – 15.30**  
**IT Risk Assessment**

- ◆ Why assess risks? Who owns IT risks?
- ◆ Methods to produce an effective Risk Profile
- ◆ How to get started
- ◆ Tips to help and pitfalls to avoid
- ◆ Control Risk Self-Assessment?
- ◆ Audit's role

**Charles Mansour**

**15.30 – 16.00 Afternoon Tea Break** ☺

**16.00 – 17.30**  
**Auditing Network Security**

- ◆ Network risk assessment – what to tackle first?
- ◆ Firewalls
- ◆ Intrusion detection and incident response
- ◆ Penetration testing
- ◆ Vulnerability assessments
- ◆ Wireless networks – threats, risks and control points
- ◆ Tools for doing network audits

**Fred C. Roth**

**Close of Day One**

# IT Audit Management Symposium 2004

## Agenda

**Thursday, 10th June 2004**

**08.45 Registration and Coffee** 

**09.00 – 10.30**

### Managing System Development Projects

- ◆ Getting involved: how, when, who
- ◆ Assigning the correct skill sets
- ◆ Determining audit objectives and scope
- ◆ Dealing with corporate politics
- ◆ Documenting audit involvement
- ◆ Becoming a team player while maintaining independence

**Fred C. Roth**

**10.30 - 11.00 Morning Coffee Break** 

**11.00 – 12.30**

### Understanding COBIT and How to Apply It

- ◆ The need for IT governance
- ◆ What is COBIT?
- ◆ How COBIT works
- ◆ COBIT and ITIL, COSO, and BS7799 / ISO17799
- ◆ COBIT as a means of effective IT Governance
- ◆ Practical demonstration of COBIT as an audit tool

**Charles Mansour**

**12.30 Lunch** 

**13.30 – 15.00**

### Auditing IT Business Partners

- ◆ Defining business-partner roles and responsibilities
- ◆ Identifying business-partner risks

- ◆ Examining offshore out-sourced IT functions
- ◆ Ensuring strong contractual agreements
- ◆ Confirming who has the right to audit
- ◆ Strategies for auditing business partner relationships

**Fred C. Roth**

**15.00 – 15.30 Afternoon Tea Break** 

**15.30 - 17.00**

### Tackling the Difficult IT Audit Management Challenges

Possible Discussion Topics:

- ◆ Staying current with the rapidly changing IT environment
- ◆ Understanding the new IT risks
- ◆ Integrating IT audit with the audit department
- ◆ Determining the what, when and who for co-sourcing auditors
- ◆ Value-added auditing
- ◆ Improving audit efficiency
- ◆ Using audit tools and electronic workpapers
- ◆ Future trends in IT audit
- ◆ Use of continuous auditing
- ◆ Data classification - can you manage security effectively without it?
- ◆ Auditing the Information Security Management System (ISMS)
- ◆ Applying the ISO 17799 and ITIL standards

**Fred C. Roth and Charles Mansour**

**17.00 Close of Symposium**

# Pre-Symposium Workshop

**Tuesday, 8th June 2004**

## **Auditing IT Governance**

**Led by: Fred Roth, *Senior Consultant*, MIS Training Institute**

### **What will be covered:**

- ◆ Understanding IT governance and its relation to corporate governance
- ◆ Linking IT governance with enterprise risk management
- ◆ The CIO's relationship with the board of directors and audit committee
- ◆ The internal control environment and IT governance
- ◆ Understanding the role COBIT can play in IT governance
- ◆ Creating an approach to auditing the IT governance function
- ◆ Key IT governance risk areas

**Fred C. Roth**

### **Workshop Timetable:**

**08.30 Registration and Coffee**

**09.00 Workshop Commences**

**10.30 Morning Coffee Break**

**12.30 Lunch**

**15.30 Afternoon Tea Break**

**16.30 Close of Workshop**



### Priority Registration Form

Name:	Job Title
Organisation	Email address (required)
Address:	
Country:	Postcode:
Telephone:	Fax:
ISACA Membership No.	

**FAX TO MIS TRAINING ON +44 (0) 20 7779 8293**

X	Please sign me up for	Dates	Normal price	ISACA UK Price*
	IT Audit Symposium with Workshop	9 <sup>th</sup> -10 June 2004	£1,490 + VAT at 17.5%	<b>£1,341 + VAT (SAVE £149)</b>
	IT Audit Symposium only	8 <sup>th</sup> June 2004	£1,095 + VAT at 17.5%	<b>£985.50 + VAT (SAVE £109.50)</b>

\*This offer may not be used in conjunction with any other discount. Your priority booking ref: 204BETEE1 - ISACA

**Please indicate how you will be paying:**

- Please debit my credit card
- MASTERCARD**     **VISA**             **AMEX**             **DINERS**

CARDOLDERS NAME: \_\_\_\_\_  
(Exactly as it appears on the card)

CARD NO: \_\_\_\_\_  
EXPIRY DATE: \_\_\_\_\_

- I will be forwarding a cheque (made payable to MIS Training EMEA)
- Please invoice me directly

Please note that in completing this booking form you undertake to adhere to the cancellation and payment terms listed below.

**REGISTRATION INFORMATION**

**PAYMENT MUST BE MADE IN ADVANCE OF THE COURSE START**

**Cancellation Policy:** Should a delegate be unable to attend, a substitute may attend in his or her place. Cancellations received within 21 working days of the event are liable for the full seminar fee. If full payment has been received you are eligible for a 75% reduction on the next run of the seminar. This discount will be valid for one year only. MIS reserves the right to change or cancel programmes due to unforeseen circumstances.

**Accommodation:** MIS Training has negotiated special accommodation rates at hotels in central London. For further information please call IBR on +44 (0) 1332 285521 or fax +44 (0) 1332 374904 or go to [www.ibr.co.uk/mis](http://www.ibr.co.uk/mis).

**VAT:** All delegates attending are liable to pay VAT. After the event organisations registered for VAT in the UK may reclaim the tax. Delegates from outside the UK but within the European Community may also be able to reclaim the VAT. Organisations outside the UK should check with their excise authority as to which domestic fiscal regulations apply.

**High Yield/No-Risk Guarantee:** Attend these workshops and receive tools and techniques that will help you do your job better. If you do not, simply tell us why on your company letterhead and we will give you a full credit toward another programme.

The information you provide will be safeguarded by the Euromoney Institutional Investor PLC group whose subsidiaries may use it to keep you informed of relevant products and services. We occasionally allow reputable companies outside the Euromoney Institutional Investor PLC group to contact you with details of products that may be of interest to you. As an international group we may transfer your data on a global basis for the purposes indicated above. If you object to contact by telephone  fax  or email  please tick the relevant box. If you do not want us to share your information with other reputable companies please tick this box .